

10/11/01
JCS21 U.S. PTO

10-15-01

A

Express M ailing Label No. EL816677578US

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	LEX-009DV
	First Named Inventor	Lo
	Title	Expression and Export of Interferon-Alpha Proteins as Fc Fusion Proteins

APPLICATION ELEMENTS	ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form	ACCOMPANYING APPLICATION PARTS
2. <input type="checkbox"/> Small Entity Status <input type="checkbox"/> Applicant claims small entity status <input type="checkbox"/> Status established in prior application and is still proper and desired	
3. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 65] - Written Description - (35 pages) - Claims - (3 pages) - Abstract - (1 page) - Sheets of Drawings - (3 sheets) <input checked="" type="checkbox"/> Informal - Sequence listing - (23 sheets)	
4. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages 3] a. <input type="checkbox"/> Newly executed (original) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <i>[Note Box 5 below]</i>	
5. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	8. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
6. <input checked="" type="checkbox"/> Application Data Sheet (2 pages)	9. <input type="checkbox"/> English Translation Document (if applicable)
7. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission Copy from prior application (23 pages) <input checked="" type="checkbox"/> Statement for Transfer of Computer Readable Form from co-pending application (1 page) <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) <input type="checkbox"/> CD (2 copies) (identical to computer copy) <input checked="" type="checkbox"/> Statement verifying identity of paper and Computer Readable Form (1 page)	10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
	11. <input checked="" type="checkbox"/> Preliminary Amendment (8 pages) <input type="checkbox"/> Drawings [Total Sheets]
	12. <input checked="" type="checkbox"/> Return Receipt Postcard (specifically itemized)
	13. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority claimed)
	14. <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)
	15. <input type="checkbox"/> CD in duplicate for large table or computer program
	16. <input type="checkbox"/> Other:
17. <input checked="" type="checkbox"/> If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence: --This is a <input type="checkbox"/> continuation <input checked="" type="checkbox"/> divisional <input type="checkbox"/> continuation-in-part of prior application Serial No. 09/575,503, filed on, May 19, 2000, which claims priority to U.S. Provisional Application Serial No. 60/134,895, filed on May 19, 1999, the entire disclosures of which are incorporated by reference herein.-- Priority to the above application(s) is claimed under 35 U.S.C. 120. Prior application information: Examiner: <u>Dong Jiang</u> , Group/Art Unit: <u>1646</u> .	
18. <input type="checkbox"/> Priority - 35 U.S.C. 119 <input type="checkbox"/> Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 U.S.C. 119. <input type="checkbox"/> The certified copy has been filed in prior U.S. application Serial No. _____/_____ on _____. <input type="checkbox"/> The certified copy will follow.	
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100 Customer No. 021323	Date: October 11, 2001 Reg. No. 41,418 Tel. No.: (617) 248-7240 Fax No.: (617) 248-7100 Respectfully submitted, <i>Patrick R. H. Waller</i> Patrick R. H. Waller, Ph.D. Agent for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110

J1050 U.S. PTO
09/1977034
10/11/01

FEE TRANSMITTAL

FY 2002

Complete if Known

Application Serial Number	Not Yet Assigned
Filing Date	October 11, 2001
First Named Inventor	Lo
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	LEX-009DV

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740.00
330	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 84.00 =

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 740.00

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 84.00 =

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 740.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	Request for ex parte reexamination	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1440	720	Extension for reply within fourth month	
1960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
130	130	Petitions to the Commissioner	
180	180	Submission of Information Disclosure Statement	
740	370	Filing a submission after final rejection (37 CFR 1.129(a))	
740	370	For each additional invention to be examined (37 CFR 1.129(b))	
100	100	Certificate of Correction for applicant's error	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$)

SUBTOTAL (1) 740.00

SUBTOTAL (2)

SUBTOTAL (3)

TOTAL (\$) 740.00

CORRESPONDENCE ADDRESS

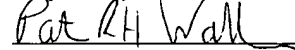
Direct all correspondence to:

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 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
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SIGNATURE BLOCK

Date: October 11, 2001
 Reg. No.: 41,418
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Respectfully submitted,


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PATENT
Atty. Docket No. LEX-009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Lo *et al.*

SERIAL NUMBER: Unknown

GROUP NUMBER: Unknown

FILING DATE: May 19, 2000

EXAMINER: Unknown

TITLE: EXPRESSION AND EXPORT OF INTERFERON-ALPHA
PROTEINS AS Fc FUSION PROTEINS

COPY

Box Patent Application

Assistant Commissioner for Patents
Washington, D.C. 20231



TRANSMITTAL LETTER FOR SUBMISSION OF SEQUENCE LISTING
IN COMPUTER READABLE FORM WITH NEW APPLICATION

Sir:

As required under 37 C.F.R. §1.821-§1.825, Applicants submit a copy of the Sequence Listing, in computer readable form, for the above-identified new patent application filed with the U.S. Patent and Trademark Office on even date herewith.

The Sequence Listing in computer readable form is the same as the enclosed paper copy of the Sequence Listing filed herewith.

Respectfully submitted,

Duncan A. Greenhalgh
Attorney for Applicants
Reg. No. 38,678

Date: May 19, 2000
TESTA, HURWITZ & THIBEAULT, LLP
High Street Tower
125 High Street
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1001893_1